

## **Internal Audit - Progress Report for Quarter 1 2015/16 (including the 2015/16 Quarter 2 IA Plan)**

**Contact Officer: Muir Laurie**  
**Telephone: 01895 556132**

### **REASON FOR ITEM**

The attached report presents the Audit Committee (AC) with summary information on all Internal Audit (IA) work covered in relation to the 2015/16 IA Plan and assurance in this respect during the Quarter 1 period (1<sup>st</sup> April to 24<sup>th</sup> June 2015). It also provides an opportunity for the Head of IA to highlight to the AC any significant issues that they need be aware of that have arisen.

It also enables the AC to hold the Head of IA to account on delivery of the 2015/16 Quarter 1 IA Plan and facilitates in holding management to account for managing risk and control weaknesses identified during the course of IA activity. The attached report also presents the AC with the Quarter 2 IA Plan which has been produced in consultation with senior managers. The Plan sets out the programme of IA coverage which is due to commence in the 1<sup>st</sup> July to 30<sup>th</sup> September 2015 period.

### **OPTIONS AVAILABLE TO THE COMMITTEE**

**The AC is asked to note the IA Progress Report for 2015/16 Quarter 1 and consider the Quarter 2 IA Plan for 2015/16 and, subject to any further minor amendments, approve it.**

**The Audit Committee should ensure that the coverage, performance and results of IA activity in Quarter 1 are considered and any additional assurance requirements are communicated to the Head of IA.**

### **INFORMATION**

IA provides an independent appraisal and consultancy service that underpins good governance, which is essential in helping the Council achieve its strategic objectives and realise its vision for the borough of Hillingdon. It is also a requirement of the Accounts and Audit (England) Regulations 2011 that the Council undertakes an adequate and effective IA of its accounting records and of its system of internal control in accordance with the proper practices.

The PSIAS, which came into force on the 1<sup>st</sup> April 2013, promote further improvement in the professionalism, quality, consistency and effectiveness of IA across the public sector. They stress the importance of robust, independent and objective IA arrangements to provide senior management with the key assurances they need to support them both in managing the organisation and in producing the Annual Governance Statement.

### **LEGAL IMPLICATIONS**

There are no legal implications arising directly from this report.

Audit Committee 2 July 2015

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PART I – MEMBERS, PUBLIC & PRESS